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PTO/SB/08A (10-96)
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/628,199
				Filing Date	July 28, 2003
				First Named Inventor	GALLOWAY, Edward L.
				Group Art Unit	3731
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	1779-8

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
VN		6,221,089		Mawhirt	04-24-2001	2
		5,851,215		Mawhirt et al.	12-22-1998	
		5,797,940		Mawhirt et al.	08-25-1998	
		5,584,846		Mawhirt et al.	12-17-1996	
		5,529,581		Cusack	06-25-1996	
		5,527,333		Nikkels et al.	06-18-1996	
		5,395,388		Schrage	03-07-1995	
		5,314,441		Cusack et al.	03-24-1994	
		4,643,189		Mintz	02-17-1987	
		4,535,769		Burns	08-20-1985	
		4,064,871		Reno	12-27-1977	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
				</				

Examiner Signature VN	Date Considered 1/4/07
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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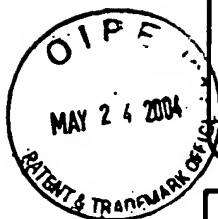
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Complete if Known

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Sheet 1 of 1



U.S. PATENT DOCUMENTS

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**Section 1 . Identification of Person() Making This Information
Disc l ure Statement**

The person making this statement is

(check each applicable item)

- (a) ☐ the inventor(s) who signs below

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (b) ☐ an individual associated with the filing and prose-
cution of this application (37 C.F.R. § 1.56(c))

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (c) ☒ the practitioner who signs below on the basis of
the information:

(check each applicable item)

- ☐ supplied by the inventor(s).
☐ supplied by an individual associated with the filing and prosecution
of this application. (37 C.F.R. § 1.56(c))
☒ in the practitioner's file.

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Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (i) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . ."

NOTE: The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

- ☐ Exception(s) to above:
 - ☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
 - ☐ Cumulative patents or publications identified in Section 5.

Section 9. Concise Explanation of English Language Listed Information Items (OPTIONAL)

NOTE: *"Applicants may, if they wish, provide a concise explanation of why English-language information is being submitted and how it is understood to be relevant. Concise explanations are helpful to the Office, particularly where documents are lengthy and complex and applicant is aware of a section that is highly relevant to patentability or where a large number of documents are submitted and applicant is aware that one or more are highly relevant to patentability." Notice of April 20, 1992 (1138 O.G. 37-41, 38).*

U.S. Patent No. 6,221,089, issued on April 24, 2001 to Mawhirt, teaches a device for making an incision in skin, having a housing with a slotted opening, and a triggering mechanism disposed within the housing for propelling a blade coupled thereto, through the slotted opening of the housing to make an incision in the skin.

U.S. Patent No. 5,851,215, issued on December 22, 1998 to Mawhirt et al., describes a low-cost safety lancet device for creating a skin incision. The lancet contains a unitarily formed plastic body, thereby making the lancet device easy to manufacture at a low cost. The lancet device includes a blade beam having a cutting blade disposed at one end for generating an incision in a patient's skin.

U.S. Patent No. 5,797,940, issued on August 25, 1998 to Mawhirt et al., discloses a device for making an adjustably sized incision in skin. The device comprises a housing having a slotted opening; a blade disposed within the housing for making an incision in skin; a blade triggering mechanism disposed within the housing, for propelling the blade through the slotted opening of the housing a given distance to make an incision of a predetermined size in the skin.

U.S. Patent No. 5,584,846, issued on December 17, 1996 to Mawhirt et al., teaches a low cost lancet device for creating a skin incision. The lancet contains a unistructurally formed plastic body, thereby making the lancet device easy to manufacture at low cost. The plastic body contains a resilient spring loop that attaches an arm element to an opposing base element. The spring loop is curved; therefore, the arm element is retained above the base element by the spring loop. A blade is disposed on the arm element.

U.S. Patent No. 5,529,581, issued on June 25, 1996 to Cusack, teaches a lancet device

and associated method used for obtaining a blood sample from a patient. The lancet includes a safety housing adapted to be placed against some area of the patient's skin, such as a finger. A slotted aperture is formed through the safety housing in the region of the housing to be placed against the skin.

U.S. Patent No. 5,527,333, issued on June 18, 1996 to Nikkels et al., teaches a disposable blood sampling device for slicing a precise incision of predetermined length and depth in the skin of a patient. The device includes a hollow housing having a bottom surface with an elongated slot adapted to contact the skin of the patient. A trigger is slidably disposed in the opening in the top surface of the housing. A single spring, only, is mounted in the housing in a relaxed condition when the device is not actuated. The spring is extended by the trigger when the device is actuated. A cutting blade is coupled to one end of the spring and extends through the elongated slot in the bottom surface of the housing to incise the skin of the patient when the device is actuated.

U.S. Patent No. 5,395,388, issued on March 7, 1995 to Schraga, discloses a single-use disposable lancet device including a housing wherein a spring is contained, the spring including a first end fixed within the housing and having a movable second end zone with a pointed blade or terminal end, the second end zone being movable relative to a normal position with the pointed terminal end contained within the housing and adjacent a first opening in the housing, between a cocked position completely within the housing and a piercing position with the pointed end momentarily exterior of the housing.

U.S. Patent No. 5,314,441, issued on May 24, 1994 to Cusack et al., describes a lancet device that uses a planar blade that implements an incision in the skin of a patient using a slicing action. There is a blade support arm pivotably secured within a hollow housing. The blade is

caused to reciprocate within the housing as it rotates with the blade support arm, wherein the blade exits the housing, implements an incision and is again retracted into the housing traversing a "tear drop" shaped path.

U.S. Patent No. 4,643,189, issued on February 17, 1987 to Mintz, discloses an apparatus for implementing a standardized skin incision which apparatus includes a housing having a base containing an elongated slot. The housing has an internal hollow which contains a movable pivot arm having a first pivotal end and a second end having a cam follower. Located within the housing is a cam surface upon which the cam follower of the pivot arm rides. The pivot end of the arm contains a cutting edge and is coupled to the housing such that it is enabled to move transversely while pivoting. The device produces a standard incision of a given length and a given depth as controlled by the reciprocating pivot arm and the cam surface.

U.S. Patent No. 4,535,769, issued on August 20, 1985 to Burns, describes an automatic retractable lancet assembly that includes a housing with a sharp-pointed lancet movable mounted therein. A depressible plunger and slide mechanism actuates the movement of the lancet outwardly from the housing. After this outward movement is completed, the actuator elements become dissociated from further movement of the lancet. Subsequently, the lancet is automatically retracted back inside the housing by a spring element.

U.S. Patent No. 4,064,871, issued on December 27, 1977 to Reno, teaches a device for making a standardized reproducible blade incision in a human or animal subject for clinically testing the bleeding time of the subject. The device comprises a housing having a surface with a slot defining a longitudinal opening into the housing. A blade is mounted within the housing for movement of the blade tip through and along the slot.

Section 9. Concise Explanation of English Language Listed Information (Items (OPTIONAL))

NOTE: *"Applicants may, if they wish, provide a concise explanation of why English-language information is being submitted and how it is understood to be relevant. Concise explanations are helpful to the Office, particularly where documents are lengthy and complex and applicant is aware of a section that is highly relevant to patentability or where a large number of documents are submitted and applicant is aware that one or more are highly relevant to patentability." Notice of April 20, 1992 (1138 O.G. 37-41, 38).*

U.S. Patent No. 6,432,120, issued on August 13, 2002 to Teo, teaches a lancet assembly having a lancet holder and a trigger enclosing a lancet structure. The trigger is partially inserted into a lancet holder from the distal end. The holder is provided with a rigid internal spring holder to receive the spring-loaded lancet structure. The lancet structure is provided with a body coupled to a spring which extend from the proximal end of the body. The spring has a linear axis of compression which coincide with the longitudinal axis of the lancet assembly. A lancet is attached to the body with the sharp tip pointing towards the distal end. The trigger interacts with the lancet holder via a triggering element to maintain the spring in a compressed state such that the lancet structure is in a stable standby position which is not easily triggered by accidental bumps on the assembly.

Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this statement is

(check each applicable item)

- (a) ☐ the inventor(s) who signs below

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

- (c) ☒ the practitioner who signs below on the basis of the information:

(check each applicable item)

- ☐ supplied by the inventor(s).
☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))
☒ in the practitioner's file.

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